



सत्यमेव जयते

Controller General of Defence Accounts  
Ulan Batar Road,  
Palam, Delhi Cantt - 110010  
Ph No. 011 - 25665571, 25665572 , FAX No. 011-  
25674779.

(IFA WING)  
e-mail : cgdaifa.dad@gov.in



Dated:15.11.2017

IFA/144

Circular No. 07 of 2017

To

All PIFAs/IFAS  
(Through CGDA Website)

**Subject:- Implementation of UNSC resolutions on Democratic People's Republic of Korea (DPRK) - regarding.**

A copy of MoD PIC Wing/ PO (Def) ID No. 12/7/2017-PO (Def) dated 21.09.2017 received under MoD (Fin) ID Note No. 10(3)/C/2017(1950)(TK-II) dated 27.09.2017 along with a copy of United Nation Security Council resolution no. S/Res/2371(2017) dated 05.08.2017 are being uploaded on HQrs office website ([cgda.nic.in](http://cgda.nic.in)) for information and strict compliance please.

(Hari Har Mishra)  
Jt. CGDA (Fin)

**Most Immediate**  
**Important**

**Ministry of Defence (Finance)**  
**DAD-Coord**  
**Room No. 24-A, South Block, New Delhi**

**Sub: Implementation of UNSC resolutions on DPRK-reg.**

A copy of Ministry of Defence (PC Wing/PO (Def) ID No. 12/7/2017-PO(Def) dated 21.09.2017 on the above subject is forwarded herewith alongwith enclosures for information and necessary action please.



**(Rajesh Kalia)**  
SO (DAD Coord)

**Dy. CGDA (AN)**

**Office of CGDA, Ulan Batar Road, Delhi Cantt**

**MoD (Fin) ID Note No. 10(3)/C/2017(1950). (TK-II) dated 27.09.2017**

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28/9/17

**MOST IMMEDIATE**  
**IMPORTANT**

Ministry of Defence  
PIC Wing/PO(Def)

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**Sub: Implementation of UNSC resolutions on DPRK - reg.**


Reference UNSC resolution no. S/RES/2371 (2017) on DPRK adopted by the UN Security Council on 05.08.2017.

2. As it is known that UN Members states are obliged under Chapter VII of the UN Charter, to take binding commitments to implement UNSC resolutions. The **UNSC has adopted another resolution no. S/RES/2371 (2017)**, reaffirming the earlier DPRK related UNSC resolutions and adding certain non-exhaustive set of prohibitions and decisions, as may be seen from enclosure.

3. A copy of UNSC resolution dated 05.08.2017 along with earlier Non-proliferation order dated 21.4.2017 (published in Gazette on 15.5.2017 and effective therefrom) on DPRK is forwarded herewith for **strict compliance by all relevant stakeholders especially DDP, SHQs and Acq Wing within MoD**. Strict compliance may also be ensured on the earlier UNSC resolutions on DPRK viz. **resolutions 1718 (2006), 1874(2009), 2087(2013), 2094(2013), 2270(2016), 2321(2016) and 2356 (2017)**

4. In view of the above, it is requested that **necessary instructions/directions for strict compliance in this regard may also be disseminated to all Attached/Autonomous bodies, Defence PSUs, Ordinance Factories etc. falling within the administrative purview of any agency/Wing of MoD.**

Encl.: As above

  
(Shambhu S. Kumaran)  
Joint Secretary (PIC)  
Tele: 23011752

Secretary Defence (R&D) & DG DRDO

DG (Acq)

AS(JN)

AS(DP)

CISC

VCOAS

VCNS

VCAS

Addl. FA (RK) & JS

L. K. Kame  
25/9/17  
DFA (N) (Ch)  
AFA (DAD - Gms)

All Joint Secretaries in Dept. of Defence/Def and Addl. FAs in Def(Fin)

MoD ID No. 12/7/2017-PO(Def) dated 21.09.2017

Copy for information to:

Joint Secretary (D&ISA), MEA

Under Secretary (D&ISA), MEA

Mj

[To be published in The Gazette of India, Extraordinary, Part-II, Section 3  
Sub Section (ii)] Published on 15.5.2017.

**GOVERNMENT OF INDIA**  
**MINISTRY of EXTERNAL AFFAIRS**  
**Order**  
**New Delhi, the 21 April 2017**

S.O. (E).-- Whereas the Security Council of the United Nations adopted Resolution 1718 (2006) on 14 October 2006 at its 5551<sup>st</sup> Meeting, Resolution 1874 (2009) on 12 June 2009 at its 6141<sup>st</sup> Meeting, Resolution 2087 (2013) on 22 January 2013 at its 6904<sup>th</sup> Meeting, Resolution 2094 (2013) on 7 March 2013 at its 6932<sup>nd</sup> Meeting, Resolution 2270 (2016) on 2 March 2016 at its 7638<sup>th</sup> Meeting and Resolution 2321 (2016) on 30 November 2016 at its 7821<sup>st</sup> meeting (appended to this Order as Schedules I, II, III, IV, V and VI respectively) under Chapter VII of the Charter of the United Nations requiring all States to take certain measures;

And whereas, the Central Government considers it necessary and expedient to issue an Order under the United Nations (Security Council) Act, 1947 (43 of 1947) to implement the said Resolutions of the Security Council adopted under Article 41 of Chapter VII of the Charter of the United Nations;

Now, therefore, in exercise of the powers conferred by section 2 of the United Nations (Security Council) Act, 1947 (43 of 1947), and in supersession of the Implementation of Security Council Resolution on Democratic People's Republic of Korea Order, 2007 [S.O.131(E) dated 7 February 2007 and S.O. 2374 (E) dated 15 September 2009], except as respects things done or omitted to be done before such supersession, the Central Government hereby makes the following Order to give effect to the Resolutions referred therein, namely:-

1. **Short title and commencement.** - (1) This Order may be called the Implementation of Security Council Resolution on Democratic People's Republic of Korea Order, 2017.

(2) It shall come into force on the date of its publication in the Official Gazette.

2. **Definitions.**- In this Order, unless the context otherwise requires,-

(a) "Resolutions" mean the United Nations Security Council Resolutions under Chapter VII of the Charter of the United Nations on Democratic People's Republic of Korea, namely, 1718 (2006), 1874 (2009), 2087 (2013), 2094 (2013), 2270 (2016) and 2321 (2016);

(b) "Committee" means the Committee of the United Nations Security Council set up in terms of paragraph 12 of Resolution 1718 (2006) and paragraph 39 of Resolution 2321 (2016);

(c) Words and expressions used but not defined in this Order and defined in any law for the time being in force shall have the meanings respectively assigned to them in such laws.

3. The Central Government shall have all the powers to take measures to,-

(a) prevent the direct or indirect supply, sale, transfer or export, through its territories or by its nationals, or using its flag vessels or aircraft, and whether or not originating in its territories, to the Democratic People's Republic of Korea, of:-

(i) any battle tanks, armoured combat vehicles, large calibre artillery systems, combat aircraft, attack helicopters, warships, missiles or missile systems as defined for the purpose of the United Nations Register on Conventional Arms, or related materiel including spare parts;

(ia) all arms and related materiel, including small arms and light weapons and their related materiel, as well as financial transactions, technical training, advice, services or assistance related to the provision, manufacture, maintenance or use of such arms and related materiel;

(ii) all items, materials, equipment, goods and technology as set out in the United Nations Security Council and International Atomic Energy Agency documents, namely,

1. S/2006/853\* (Appendix I to this Order);
2. S/2006/853/Corr.1 (Appendix II to this Order);
3. Part B of S/2009/364 (Appendix III to this Order),
4. Annex III of Resolution 2094 (2013);
5. S/2016/1069 (Appendix IV to this Order);
6. Annex A to INFCIRC/254/Rev.12/Part1 (International Atomic Energy Agency document);
7. Annex to INFCIRC/254/Rev.9/Part2 (International Atomic Energy Agency document);
8. S/2014/253 (Appendix V to this Order);
9. S/2016/308 (Appendix VI to this Order);
10. Annex III of Resolution 2321 (2016); and
11. other items, materials, equipment, goods and technology, as determined by the Central Government, which could contribute to Democratic People's Republic of Korea's nuclear-related, ballistic missile-related or other weapons of mass destruction related programmes;

(iii) luxury goods, including, but not limited to, the items specified in Annex IV of Resolution 2094 (2013), Annex IV of Resolution 2270 (2016) and Annex IV of Resolution 2321 (2016);

(iv) items as determined by the Central Government, except food or medicine, that could directly contribute to the development of the Democratic People's Republic of Korea's operational capabilities of its armed forces. This measure is subject to the exemptions set out in paragraph 8 (a) and (b) of Resolution 2270 (2016);

(b) prohibit the procurement, by its nationals, or using its flagged vessels or aircraft, and whether or not originating in the territory of the Democratic People's Republic of Korea, of items covered in sub-paragraphs (a)(i), (a)(ia), (a)(ii) and (a)(iv) above, from the Democratic People's Republic of Korea. This prohibition includes the hosting of trainers, advisors, or other officials for the purpose of military-, paramilitary- or police-related training;

(c) prevent any transfers to the Democratic People's Republic of Korea by Indian nationals or from Indian territory, or from the Democratic People's Republic of Korea by its nationals or from its territory, of technical training, advice, services or assistance related to the provision, manufacture, maintenance or use of the items in sub-paragraphs (a)(i) and (a)(ii) above.

(d) freeze immediately the funds, other financial assets and economic resources which are on its territories, that are owned or controlled, directly or indirectly, by the persons or entities set out in

1. Part A and Part C of S/2009/364 (Appendix III to this Order);
2. Annex I and II of Resolution 2087 (2013);
3. Annex I and II of Resolution 2094 (2013);
4. Annex I, II and III of Resolution 2270 (2016), as amended by Security Council Press Release SC/12636 of 17 December 2016 (Appendix VII to this Order); and
5. Annex I and II of Resolution 2321 (2016)

as being engaged in or providing support for, including through other illicit means, Democratic People's Republic of Korea's nuclear-related, other weapons of mass destruction-related and ballistic missile-related programmes, or by persons or entities acting on their behalf or at their direction, and ensure that any funds, financial assets or economic resources are prevented from being made available by its nationals or by any persons or entities within India, to or for the benefit of such persons or entities.

Note 1: The asset freeze shall apply to all the funds, other financial assets and economic resources outside of the Democratic People's Republic of Korea that are owned or controlled, directly or indirectly, by entities of the Government of the Democratic People's Republic of Korea or the Worker's Party of Korea, or by individuals or entities acting on their behalf or at their direction, or by entities owned or controlled by them, that the Central Government determines are associated with the Democratic People's Republic of Korea's nuclear or ballistic missile programs or other activities prohibited by the Resolutions .

Note 2: These measures are subject to the exemptions set out in paragraph 9 of Resolution 1718 (2006) and paragraph 32 of Resolution 2270 (2016);

(e) prevent the entry into or transit through India of the persons listed in

1. Part C of S/2009/364 (Appendix III to this Order);
2. Annex II of Resolution 2087 (2013);
3. Annex II of Resolution 2094 (2013);
4. Annex II of Resolution 2270 (2016); and
5. Annex II of Resolution 2321 (2016)

as being responsible for, including through supporting or promoting, Democratic People's Republic of Korea policies in relation to the Democratic People's Republic of Korea's nuclear-related, ballistic missile-related and other weapons of mass destruction-related programmes, together with their family members, provided that nothing contained above shall oblige the Central Government to refuse its own nationals entry into its territory.

Note: The travel ban measures are subject to the exemptions set out in paragraph 10 of Resolution 1718 (2006) and paragraph 10 of Resolution 2094 (2013);

(f) inspect, in accordance with provisions of all laws for the time being in force, all cargo (including cargo transported by rail or by road and personal or checked baggage of individuals) within or transiting through its territory, that has originated in the Democratic People's Republic of Korea, or that is destined for the Democratic People's Republic of Korea, or has been brokered or facilitated by the Democratic People's Republic of Korea or its nationals, or by individuals or entities acting on their behalf or at their direction, or entities owned or controlled by them, or by designated individuals or entities, or that is being transported on Democratic People's Republic of Korea-flagged aircraft or maritime vessels, for the purpose of ensuring that no items are transferred in violation of the Resolutions;

(g) prohibit its nationals, persons subject to its jurisdiction and entities incorporated in its territory or subject to its jurisdiction from providing insurance or re-insurance services to vessels owned, controlled, or operated, including through illicit means, by the Democratic People's Republic of Korea;

(h) prohibit the provision by its nationals or from its territory, of bunkering services, such as provision of fuel or supplies, or other servicing of vessels, to Democratic People's Republic of Korea vessels if there are reasonable grounds to believe that they are carrying items the supply, sale, transfer, or export of which is prohibited by the Resolutions;

(i) prevent the provision of financial services or the transfer to, through, or from its territory, or to or by its nationals or entities organised under its laws (including branches abroad), or persons or financial institutions in its territory, of any financial or other assets or resources including bulk cash, and transfers of gold, including through gold couriers, transiting to and from the Democratic People's Republic of Korea, that could contribute to the Democratic People's Republic of Korea's nuclear or ballistic missile programme, or other activities prohibited by the Resolutions or to the evasion of measures imposed by the Resolutions, including by freezing any financial or other assets or resources on its territories or that hereafter come within its territories, or that are subject to its jurisdiction or that hereafter become subject to its jurisdiction, that are associated with such programmes or activities and applying enhanced monitoring to prevent all such transactions in accordance with its national authorities and legislation;

(j) prohibit public and private financial support from within its territory or by persons or entities subject to its jurisdiction for trade with the Democratic

People's Republic of Korea (including the granting of export credits, guarantees or insurance to its nationals or entities involved in such trade), except as approved in advance by the Committee on a case-by-case basis;

(k) expel an individual from its territory for the purpose of repatriation to the Democratic People's Republic of Korea, consistent with applicable law, if it is determined by the Central Government that such individual, being a Democratic People's Republic of Korea diplomat, governmental representative, or other Democratic People's Republic of Korea national acting in a governmental capacity, or working on behalf of or at the direction of a Democratic People's Republic of Korea bank or financial institution, or working on behalf or at the direction of a designated individual or entity, or of an individual or entities assisting in the evasion of sanctions or violating the provisions of the Resolutions. This measure is subject to the exemptions set out in paragraph 13 of Resolution 2270 (2016);

(l) expel an individual who is not an Indian from its territories for the purpose of repatriation to the individual's State of nationality, consistent with applicable national and international law, if it is determined by the Central Government that the individual is working on behalf of or at the direction of a designated individual or entity or assisting the evasion of sanctions or violating the provisions of the Resolutions. This measure is subject to the exemptions set out in paragraph 14 of Resolution 2270 (2016);

(m) prevent specialized teaching or training of Democratic People's Republic of Korea nationals within its territories or by its nationals of disciplines which could contribute to the Democratic People's Republic of Korea's proliferation sensitive nuclear activities or the development of nuclear weapons delivery systems, including teaching or training in advanced physics, advanced computer simulation and related computer sciences, geospatial navigation, nuclear engineering, aerospace engineering, aeronautical engineering and related disciplines, advanced materials science, advanced chemical engineering, advanced mechanical engineering, advanced electrical engineering and advanced industrial engineering;

(n) suspend scientific and technical cooperation involving persons or groups officially sponsored by or representing the Democratic People's Republic of Korea except for medical exchanges unless-

- (i) in the case of scientific or technical cooperation in the fields of nuclear science and technology, aerospace and aeronautical engineering and technology, or advanced manufacturing production techniques and methods, the Committee has determined on a case-by-case basis that a particular activity will not contribute to the Democratic People's Republic of Korea's proliferation sensitive nuclear activities or ballistic missile-related programmes; or
- (ii) in the case of all other scientific or technical cooperation, the Central Government determines that the particular activity will not contribute to the Democratic People's Republic of Korea's proliferation sensitive nuclear activities or ballistic missile-related programmes and notifies the Committee in advance of such determination;



(o) prohibit its nationals from procuring vessel and aircraft crewing services from the Democratic People's Republic of Korea;

(p) prohibit its nationals and those in its territories from leasing or chartering its flagged vessels or aircraft or providing crew services to the Democratic People's Republic of Korea without exception unless approved in advance by the Committee;

(q) prevent the direct or indirect supply, sale or transfer, through its territories or by its nationals, or using its flag vessels or aircraft, and whether or not originating in its territories, of new helicopters and vessels, to the Democratic People's Republic of Korea, except as approved in advance by the Committee on a case-by-case basis;

(r) prohibit Indian nationals, persons subject to Indian jurisdiction and entities incorporated in its territory or subject to its jurisdiction from registering vessels in the Democratic People's Republic of Korea, obtaining authorisation for a vessel to use the Democratic People's Republic of Korea flag, and from owning, leasing, operating, providing any vessel classification, certification or associated service, or insuring any vessel flagged by the Democratic People's Republic of Korea. The said provisions would apply without exception, unless the Committee approves on a case-by-case basis in advance;

(s) de-register any vessel that is owned, controlled, operated or crewed by the Democratic People's Republic of Korea, and not register any such vessel that has been de-registered by another Member State pursuant to this measure;

(t) deny permission to any aircraft to take off from, land in or overfly, unless under the condition of landing for inspection, their territory, if it has information that provides reasonable grounds to believe that the aircraft contains items the supply, sale, transfer or export of which is prohibited by the Resolutions, except in the case of an emergency landing;

(u) prohibit the procurement, by its nationals, or using its flag vessels or aircraft, and whether or not originating in the Democratic People's Republic of Korea, of coal, iron and iron ore, from the Democratic People's Republic of Korea. This measure is subject to the exemptions set out in paragraph 26 (a), (b) and (c) of Resolution 2321 (2016). The procurement of coal from the Democratic People's Republic of Korea shall be reported by the Central Government to the Committee in the manner prescribed in paragraph 26 (b) of Resolution 2321 (2016);

(v) prohibit the procurement, by its nationals, or using its flag vessels or aircraft, whether or not originating in the territory of the Democratic People's Republic of Korea, of gold, titanium ore, vanadium ore, and rare earth minerals, from the Democratic People's Republic of Korea;

(w) prohibit the procurement, by its nationals, or using its flag vessels or aircraft, whether or not originating in the territory of the Democratic People's

Republic of Korea, of copper, nickel, silver and zinc, from the Democratic People's Republic of Korea;

(x) prohibit the procurement, by its nationals, or using its flag vessels or aircraft, whether or not originating in the territory of the Democratic People's Republic of Korea, of statues, from the Democratic People's Republic of Korea unless the Committee approves on a case-by-case basis in advance;

(y) prevent the sale or supply, by its nationals or from its territories or using its flag vessels or aircraft, of aviation fuel, including aviation gasoline, naphtha-type jet fuel, kerosene-type jet fuel, and kerosene-type rocket fuel, whether or not originating in its territory, to the territory of the Democratic People's Republic of Korea and in this regard exercise vigilance to ensure that no more fuel is provided to Democratic People's Republic of Korea-flagged civil passenger aircraft than is necessary for the relevant flight, including a standard margin for safety of flight. This provision shall not apply with respect to the sale or supply of aviation fuel to civilian passenger aircraft outside the Democratic People's Republic of Korea, exclusively for consumption during its flight to the Democratic People's Republic of Korea and its return flight;

(z) prohibit in its territories the opening and operation of new branches, subsidiaries, and representative offices of Democratic People's Republic of Korea banks; and prohibit financial institutions within its territories or subject to its jurisdiction from establishing new joint ventures and from taking an ownership interest in or establishing or maintaining correspondent relationships with Democratic People's Republic of Korea banks, unless such transactions have been approved by the Committee in advance; and take the necessary measures to close such existing branches, subsidiaries and representative offices, and also terminate such joint ventures, ownership interests and correspondent banking relationships with Democratic People's Republic of Korea banks;

(za) take the necessary measures to close existing representative offices, subsidiaries or banking accounts in the Democratic People's Republic of Korea unless the Committee determines on a case-by-case basis that such offices, subsidiaries or accounts are required for the delivery of humanitarian assistance or the activities of diplomatic missions in the Democratic People's Republic of Korea pursuant to the Vienna Convention on Diplomatic Relations or the activities of the United Nations or its specialized agencies or related organisations, or for any other purposes consistent with the objectives of the Resolutions;

(zb) prohibit financial institutions within India or its jurisdiction from opening new representative offices or subsidiaries, branches or banking accounts in the Democratic People's Republic of Korea;

(zc) take steps to limit the number of bank accounts to one per Democratic People's Republic of Korea diplomatic mission and consular post, and one per accredited Democratic People's Republic of Korea diplomat and consular officer, at banks in India;

(zd) restrict the entry into or transit through its territory of members of the Government of the Democratic People's Republic of Korea, officials of that Government, and members of the Democratic People's Republic of Korea armed forces, if it is determined by the Central Government that such members or officials are associated with the Democratic People's Republic of Korea's nuclear or ballistic missile programmes or other activities prohibited by the Resolutions;

(ze) prohibit the Democratic People's Republic of Korea from using real property that it owns or leases in India for any purpose other than diplomatic or consular activities.



## Security Council

Distr.: General  
5 August 2017

## Resolution 2371 (2017)

Adopted by the Security Council at its 8019th meeting, on  
5 August 2017*The Security Council,*

*Recalling* its previous relevant resolutions, including resolution 825 (1993), resolution 1540 (2004), resolution 1695 (2006), resolution 1718 (2006), resolution 1874 (2009), resolution 1887 (2009), resolution 2087 (2013), resolution 2094 (2013), resolution 2270 (2016), resolution 2321 (2016), and resolution 2356 (2017), as well as the statements of its President of 6 October 2006 (S/PRST/2006/41), 13 April 2009 (S/PRST/2009/7) and 16 April 2012 (S/PRST/2012/13),

*Reaffirming* that proliferation of nuclear, chemical and biological weapons, as well as their means of delivery, constitutes a threat to international peace and security,

*Expressing* its gravest concern at the July 3 and July 28 of 2017 ballistic missile tests by the Democratic People's Republic of Korea ("the DPRK"), which the DPRK has stated were tests of intercontinental ballistic missiles, in violation of resolutions 1718 (2006), 1874 (2009), 2087 (2013), 2094 (2013), 2270 (2016), 2321 (2016), and 2356 (2017), and at the challenge such tests constitute to the Treaty on Non-Proliferation of Nuclear Weapons ("the NPT") and to international efforts aimed at strengthening the global regime of non-proliferation of nuclear weapons, and the danger they pose to peace and stability in the region and beyond,

*Underlining* once again the importance that the DPRK respond to other security and humanitarian concerns of the international community,

*Underlining* also that measures imposed by this resolution are not intended to have adverse humanitarian consequences for the civilian population of the DPRK,

*Expressing* serious concern that the DPRK has continued to violate relevant Security Council resolutions through repeated launches and attempted launches of ballistic missiles, and *noting* that all such ballistic missile activities contribute to the DPRK's development of nuclear weapons delivery systems and increase tension in the region and beyond,

*Expressing* continued concern that the DPRK is abusing the privileges and immunities accorded under the Vienna Conventions on Diplomatic and Consular Relations,



*Expressing* great concern that the DPRK's prohibited arms sales have generated revenues that are diverted to the pursuit of nuclear weapons and ballistic missiles while DPRK citizens have unmet needs,

*Expressing* its gravest concern that the DPRK's ongoing nuclear- and ballistic missile-related activities have further generated increased tension in the region and beyond, and *determining* that there continues to exist a clear threat to international peace and security,

*Acting* under Chapter VII of the Charter of the United Nations, and taking measures under its Article 41,

1. *Condemns* in the strongest terms the ballistic missile launches conducted by the DPRK on 3 July and 28 July of 2017, which the DPRK has stated were launches of intercontinental ballistic missiles, and which used ballistic missile technology in violation and flagrant disregard of the Security Council's resolutions;

2. *Reaffirms* its decisions that the DPRK shall not conduct any further launches that use ballistic missile technology, nuclear tests, or any other provocation; shall suspend all activities related to its ballistic missile program and in this context re-establish its pre-existing commitments to a moratorium on missile launches; shall abandon all nuclear weapons and existing nuclear programs in a complete, verifiable and irreversible manner, and immediately cease all related activities; and shall abandon any other existing weapons of mass destruction and ballistic missile programs in a complete, verifiable and irreversible manner;

#### Designations

3. *Decides* that the measures specified in paragraph 8 (d) of resolution 1718 (2006) shall apply also to the individuals and entities listed in Annex I and II of this resolution and to any individuals or entities acting on their behalf or at their direction, and to entities owned or controlled by them, including through illicit means, and *decides* further that the measures specified in paragraph 8 (e) of resolution 1718 (2006) shall also apply to the individuals listed in Annex I of this resolution and to individuals acting on their behalf or at their direction;

4. *Decides* to adjust the measures imposed by paragraph 8 of resolution 1718 (2006) and this resolution through the designation of additional goods, *directs* the Committee to undertake its tasks to this effect and to report to the Security Council within fifteen days of adoption of this resolution, and *further decides* that, if the Committee has not acted, then the Security Council will complete action to adjust the measures within seven days of receiving that report;

5. *Decides* to adjust the measures imposed by paragraph 7 of resolution 2321 (2016) through the designation of additional conventional arms-related items, materials, equipment, goods, and technology, *directs* the Committee to undertake its tasks to this effect and to report to the Security Council within thirty days of adoption of this resolution, *further decides* that, if the Committee has not acted, then the Security Council will complete action to adjust the measures within seven days of receiving that report, and *directs* the Committee to update this list every 12 months;

#### Transportation

6. *Decides* that the Committee may designate vessels for which it has information indicating they are, or have been, related to activities prohibited by resolutions 1718 (2006), 1874 (2009), 2087 (2013), 2094 (2013), 2270 (2016), 2321 (2016), 2356 (2017), or this resolution and all Member States shall prohibit the entry into their ports of such designated vessels, unless entry is required in the case

of emergency or in the case of return to its port of origination, or unless the Committee determines in advance that such entry is required for humanitarian purposes or any other purposes consistent with the objectives of resolutions 1718 (2006), 1874 (2009), 2087 (2013), 2094 (2013), 2270 (2016), 2321 (2016), 2356 (2017), or this resolution;

7. *Clarifies* that the measures set forth in paragraph 20 of resolution 2270 (2016) and paragraph 9 of resolution 2321 (2016), requiring States to prohibit their nationals, persons subject to their jurisdiction and entities incorporated in their territory or subject to their jurisdiction from owning, leasing, operating any vessel flagged by the DPRK, without exception, unless the Committee approves on a case-by-case basis in advance, apply to chartering vessels flagged by the DPRK;

#### Sectoral

8. *Decides* that paragraph 26 of resolution 2321 (2016) shall be replaced by the following:

*"Decides* that the DPRK shall not supply, sell or transfer, directly or indirectly, from its territory or by its nationals or using its flag vessels or aircraft, coal, iron, and iron ore, and that all States shall prohibit the procurement of such material from the DPRK by their nationals, or using their flag vessels or aircraft, and whether or not originating in the territory of the DPRK, *decides* that for sales and transactions of iron and iron ore for which written contracts have been finalized prior to the adoption of this resolution, all States may allow those shipments to be imported into their territories up to 30 days from the date of adoption of this resolution with notification provided to the Committee containing details on those imports by no later than 45 days after the date of adoption of this resolution, and *decides* further that this provision shall not apply with respect to coal that the exporting State confirms on the basis of credible information has originated outside the DPRK and was transported through the DPRK solely for export from the Port of Rajin (Rason), provided that the exporting State notifies the Committee in advance and such transactions involving coal originating outside of the DPRK are unrelated to generating revenue for the DPRK's nuclear or ballistic missile programs or other activities prohibited by resolutions 1718 (2006), 1874 (2009), 2087 (2013), 2094 (2013), 2270 (2016), 2321 (2016), 2356 (2017), or this resolution;"

9. *Decides* that the DPRK shall not supply, sell or transfer, directly or indirectly, from its territory or by its nationals or using its flag vessels or aircraft, seafood (including fish, crustaceans, mollusks, and other aquatic invertebrates in all forms), and that all States shall prohibit the procurement of such items from the DPRK by their nationals, or using their flag vessels or aircraft, whether or not originating in the territory of the DPRK, and further *decides* that for sales and transactions of seafood (including fish, crustaceans, mollusks, and other aquatic invertebrates in all forms) for which written contracts have been finalized prior to the adoption of this resolution, all States may allow those shipments to be imported into their territories up to 30 days from the date of adoption of this resolution with notification provided to the Committee containing details on those imports by no later than 45 days after the date of adoption of this resolution;

10. *Decides* that the DPRK shall not supply, sell or transfer, directly or indirectly, from its territory or by its nationals or using its flag vessels or aircraft, lead and lead ore, and that all States shall prohibit the procurement of such items from the DPRK by their nationals, or using their flag vessels or aircraft, whether or not originating in the territory of the DPRK, and further *decides* that for sales and

transactions of lead and lead ore for which written contracts have been finalized prior to the adoption of this resolution, all States may allow those shipments to be imported into their territories up to 30 days from the date of adoption of this resolution with notification provided to the Committee containing details on those imports by no later than 45 days after the date of adoption of this resolution;

11. *Expresses concern* that DPRK nationals frequently work in other States for the purpose of generating foreign export earnings that the DPRK uses to support its prohibited nuclear and ballistic missile programs, *decides* that all Member States shall not exceed on any date after the date of adoption of this resolution the total number of work authorizations for DPRK nationals provided in their jurisdictions at the time of the adoption of this resolution unless the Committee approves on a case-by-case basis in advance that employment of additional DPRK nationals beyond the number of work authorizations provided in a member state's jurisdiction at the time of the adoption of this resolution is required for the delivery of humanitarian assistance, denuclearization or any other purpose consistent with the objectives of resolutions 1718 (2006), 1874 (2009), 2087 (2013), 2094 (2013), 2270 (2016), 2321 (2016), 2356 (2017), or this resolution;

#### Financial

12. *Decides* that States shall prohibit, by their nationals or in their territories, the opening of new joint ventures or cooperative entities with DPRK entities or individuals, or the expansion of existing joint ventures through additional investments, whether or not acting for or on behalf of the government of the DPRK, unless such joint ventures or cooperative entities have been approved by the Committee in advance on a case-by-case basis;

13. *Clarifies* that the prohibitions contained in paragraph 11 of resolution 2094 (2013) apply to clearing of funds through all Member States' territories;

14. *Clarifies* that companies performing financial services commensurate with those provided by banks are considered financial institutions for the purposes of implementing paragraph 11 of resolution 2094 (2013), paragraphs 33 and 34 of resolution 2270 (2016), and paragraph 33 of resolution 2321 (2016);

#### Chemical Weapons

15. *Recalls* paragraph 24 of resolution 2270 (2016), *decides* that the DPRK shall not deploy or use chemical weapons, and *urgently calls upon* the DPRK to accede to the Convention on the Prohibition of the Development, Production, Stockpiling and Use of Chemical Weapons and Their Destruction, and then to immediately comply with its provisions;

#### Vienna Convention

16. *Demands* that the DPRK fully comply with its obligations under the Vienna Convention on Diplomatic Relations and the Vienna Convention on Consular Relations;

#### Impact on the People of the DPRK

17. *Regrets* the DPRK's massive diversion of its scarce resources toward its development of nuclear weapons and a number of expensive ballistic missile programs, *notes* the findings of the United Nations Office for the Coordination of Humanitarian Assistance that well over half of the people in the DPRK suffer from major insecurities in food and medical care, including a very large number of pregnant and lactating women and under-five children who are at risk of

malnutrition and nearly a quarter of its total population suffering from chronic malnutrition, and, in this context, *expresses* deep concern at the grave hardship to which the people in the DPRK are subjected;

#### Sanctions Implementation

18. *Decides* that Member States shall report to the Security Council within ninety days of the adoption of this resolution, and thereafter upon request by the Committee, on concrete measures they have taken in order to implement effectively the provisions of this resolution, *requests* the Panel of Experts, in cooperation with other United Nations sanctions monitoring groups, to continue its efforts to assist Member States in preparing and submitting such reports in a timely manner;

19. *Calls upon* all Member States to redouble efforts to implement in full the measures in resolutions 1718 (2006), 1874 (2009), 2087 (2013), 2094 (2013), 2270 (2016), 2321 (2016), and 2356 (2017), and to cooperate with each other in doing so, particularly with respect to inspecting, detecting and seizing items the transfer of which is prohibited by these resolutions;

20. *Decides* that the mandate of the Committee, as set out in paragraph 12 of resolution 1718 (2006), shall apply with respect to the measures imposed in this resolution and *further decides* that the mandate of the Panel of Experts, as specified in paragraph 26 of resolution 1874 (2009) and modified in paragraph 1 of resolution 2345 (2017), shall also apply with respect to the measures imposed in this resolution;

21. *Decides* to authorize all Member States to, and that all Member States shall, seize and dispose (such as through destruction, rendering inoperable or unusable, storage, or transferring to a State other than the originating or destination States for disposal) of items the supply, sale, transfer, or export of which is prohibited by resolutions 1718 (2006), 1874 (2009), 2087 (2013), 2094 (2013), 2270 (2016), 2321 (2016), 2356 (2017), or this resolution that are identified in inspections; in a manner that is not inconsistent with their obligations under applicable Security Council resolutions, including resolution 1540 (2004), as well as any obligations of parties to the NPT, the Convention on the Prohibition of the Development, Production, Stockpiling and Use of Chemical Weapons and on Their Destruction of 29 April 1997, and the Convention on the Prohibition of the Development, Production and Stockpiling of Bacteriological (Biological) and Toxin Weapons and on Their Destruction of 10 April 1972;

22. *Emphasizes* the importance of all States, including the DPRK, taking the necessary measures to ensure that no claim shall lie at the instance of the DPRK, or of any person or entity in the DPRK, or of persons or entities designated for measures set forth in resolutions 1718 (2006), 1874 (2009), 2087 (2013), 2094 (2013), 2270 (2016), 2321 (2016), 2356 (2017), or this resolution, or any person claiming through or for the benefit of any such person or entity, in connection with any contract or other transaction where its performance was prevented by reason of the measures imposed by this resolution or previous resolutions;

23. *Requests* that Interpol issue Special Notices with respect to designated individuals, and *directs* the Committee to work with Interpol to develop the appropriate arrangements to do so;

24. *Requests* the Secretary-General to provide additional analytical resources needed to the Panel of Experts established pursuant to resolution 1874 (2009) to strengthen its ability to analyze the DPRK's sanctions violation and evasion activities;



## Political

25. *Reiterates* its deep concern at the grave hardship that the people in the DPRK are subjected to, *condemns* the DPRK for pursuing nuclear weapons and ballistic missiles instead of the welfare of its people while people in the DPRK have great unmet needs, and *emphasizes* the necessity of the DPRK respecting and ensuring the welfare and inherent dignity of people in the DPRK;

26. *Reaffirms* that the measures imposed by resolutions 1718 (2006), 1874 (2009), 2087 (2013), 2094 (2013), 2270 (2016), 2321 (2016), 2356 (2017), and this resolution are not intended to have adverse humanitarian consequences for the civilian population of the DPRK or to affect negatively or restrict those activities, including economic activities and cooperation, food aid and humanitarian assistance, that are not prohibited by resolutions 1718 (2006), 1874 (2009), 2087 (2013), 2094 (2013), 2270 (2016), 2321 (2016), 2356 (2017) and this resolution, and the work of international and non-governmental organizations carrying out assistance and relief activities in the DPRK for the benefit of the civilian population of the DPRK and *decides* that the Committee may, on a case-by-case basis, exempt any activity from the measures imposed by these resolutions if the committee determines that such an exemption is necessary to facilitate the work of such organizations in the DPRK or for any other purpose consistent with the objectives of these resolutions, and *further decides* that the measures specified in paragraph 8 (d) of resolution 1718 (2006) shall not apply with respect to financial transactions with the DPRK Foreign Trade Bank or the Korea National Insurance Corporation if such transactions are solely for the operation of diplomatic or consular missions in the DPRK or humanitarian assistance activities that are undertaken by, or in coordination with, the United Nations;

27. *Reaffirms* its support for the Six Party Talks, *calls* for their resumption, and *reiterates* its support for the commitments set forth in the Joint Statement of 19 September 2005 issued by China, the DPRK, Japan, the Republic of Korea, the Russian Federation, and the United States, including that the goal of the Six-Party Talks is the verifiable denuclearization of the Korean Peninsula in a peaceful manner, that the United States and the DPRK undertook to respect each other's sovereignty and exist peacefully together, that the Six Parties undertook to promote economic cooperation, and all other relevant commitments;

28. *Reiterates* the importance of maintaining peace and stability on the Korean Peninsula and in north-east Asia at large, and *expresses* its commitment to a peaceful, diplomatic, and political solution to the situation and welcomes efforts by the Council members as well as other States to facilitate a peaceful and comprehensive solution through dialogue and stresses the importance of working to reduce tensions in the Korean Peninsula and beyond;

29. *Affirms* that it shall keep the DPRK's actions under continuous review and is prepared to strengthen, modify, suspend or lift the measures as may be needed in light of the DPRK's compliance, and, in this regard, *expresses its determination* to take further significant measures in the event of a further DPRK nuclear test or launch;

30. *Decides* to remain seized of the matter.

## Annex I

## Travel Ban/Asset Freeze (Individuals)

1. CHOE CHUN YONG
  - a. *Description*: Representative for Ilsim International Bank, which is affiliated with the DPRK military and has a close relationship with the Korea Kwangson Banking Corporation. Ilsim International Bank has attempted to evade United Nations sanctions.
  - b. *A.K.A.*: Ch'oe Ch'un-yo'ng
  - c. *Identifiers*: Nationality: DPRK; Passport no.: 654410078; Gender: male
2. HAN JANG SU
  - a. *Description*: Chief Representative of the Foreign Trade Bank.
  - b. *A.K.A.*: Chang-Su Han
  - c. *Identifiers*: DOB: November 08, 1969; POB: Pyongyang, DPRK; Nationality: DPRK; Passport no.: 745420176, expires on October 19, 2020; Gender: male
3. JANG SONG CHOL
  - a. *Description*: Jang Song Chol is a Korea Mining Development Corporation (KOMID) representative overseas.
  - b. *AKA*: n/a
  - c. *Identifiers*: DOB: 12 March 1967; Nationality: DPRK
4. JANG SUNG NAM
  - a. *Description*: Chief of an overseas Tanguu Trading Corporation branch, which is primarily responsible for the procurement of commodities and technologies to support the DPRK's defense research and development programs.
  - b. *A.K.A.*: n/a
  - c. *Identifiers*: DOB: July 14, 1970; Nationality: DPRK; Passport no.: 563120368, issued on March 22, 2013; Passport expiration date: March 22, 2018; Gender: male
5. JO CHOL SONG
  - a. *Description*: Deputy Representative for the Korea Kwangson Banking Corporation, which provides financial services in support to Tanchon Commercial Bank and Korea Hyoksin Trading, a subordinate entity of Korea Ryonbong General Corporation.
  - b. *A.K.A.*: Cho Ch'o'l-so'ng
  - c. *Identifiers*: DOB: September 25, 1984; Nationality: DPRK; Passport no.: 654320502, expires on September 16, 2019; Gender: male
6. KANG CHOL SU
  - a. *Description*: Official for Korea Ryonbong General Corporation, which specializes in acquisition for the DPRK's defense industries and support for the DPRK's military-related overseas sales. Its procurements also likely support the DPRK's chemical weapons program.

- b. *A.K.A.*: n/a
  - c. *Identifiers*: DOB: February 13, 1969; Nationality: DPRK; Passport no.: 472234895
7. KIM MUN CHOL
- a. *Description*: Representative for Korea United Development Bank.
  - b. *A.K.A.*: Kim Mun-ch'o'l
  - c. *Identifiers*: DOB: March 25, 1957; Nationality: DPRK
8. KIM NAM UNG
- a. *Description*: Representative for Ilsim International Bank, which is affiliated with the DPRK military and has a close relationship with the Korea Kwangson Banking Corporation. Ilsim International Bank has attempted to evade United Nations sanctions.
  - b. *A.K.A.*: n/a
  - c. *Identifiers*: Nationality: DPRK; Passport no.: 654110043
9. PAK IL KYU
- a. *Description*: Official for Korea Ryonbong General Corporation, which specializes in acquisition for DPRK's defense industries and support to Pyongyang's military-related sales. Its procurements also likely support the DPRK's chemical weapons program.
  - b. *A.K.A.*: Pak Il-Gyu
  - c. *Identifiers*: Nationality: DPRK; Passport no.: 563120235; Gender: male

List Update for Aliases:

- JANG BOM SU (KPi.016) — *New AKA*: Jang Hyon U with date of birth 22 February 1958 and diplomatic passport number 836110034, which expires on 1 January 2020.
- JON MYONG GUK (KPi.018) — *New AKA*: Jon Yong Sang with date of birth 25 August 1976 and diplomatic passport number 836110035, which expires on 1 January 2020.

## Annex II

## Asset Freeze (Entities)

1. FOREIGN TRADE BANK (FTB)
  - a. *Description:* Foreign Trade Bank is a state-owned bank and acts as the DPRK's primary foreign exchange bank and has provided key financial support to the Korea Kwangson Banking Corporation.
  - b. *AKA:* n/a
  - c. *Location:* FTB Building, Jungsong-dong, Central District, Pyongyang, DPRK
2. KOREAN NATIONAL INSURANCE COMPANY (KNIC)
  - a. *Description:* The Korean National Insurance Company is a DPRK financial and insurance company and is affiliated with Office 39.
  - b. *AKA:* Korea Foreign Insurance Company
  - c. *Location:* Central District, Pyongyang, DPRK
3. KORYO CREDIT DEVELOPMENT BANK
  - a. *Description:* Koryo Credit Development Bank operates in the financial services industry in the DPRK's economy.
  - b. *AKA:* Daesong Credit Development Bank; Koryo Global Credit Bank; Koryo Global Trust Bank
  - c. *Location:* Pyongyang, DPRK
4. MANSUDAE OVERSEAS PROJECT GROUP OF COMPANIES
  - a. *Description:* Mansudae Overseas Project Group of Companies engaged in, facilitated, or was responsible for the exportation of workers from the DPRK to other nations for construction-related activities including for statues and monuments to generate revenue for the Government of the DPRK or the Workers' Party of Korea. The Mansudae Overseas Project Group of Companies has been reported to conduct business in countries in Africa and Southeast Asia including Algeria, Angola, Botswana, Benin, Cambodia, Chad, the Democratic Republic of the Congo, Equatorial Guinea, Malaysia, Mozambique, Madagascar, Namibia, Syria, Togo, and Zimbabwe.
  - b. *AKA:* Mansudae Art Studio
  - c. *Location:* Pyongyang, DPRK